# Chapter 1 INTRODUCTION TO DIGITAL SIGNAL PROCESSING

1.1 Introduction

1.2 Signals

1.5 Signal Processing

Copyright © 2018 Andreas Antoniou Victoria, BC, Canada Email: aantoniou@ieee.org

July 9, 2018

 Signal processing emerged soon after World War I in the form of electrical filtering.

- Signal processing emerged soon after World War I in the form of electrical filtering.
- With the invention of the digital computer and the rapid advances in VLSI technology during the 1960s, a new way of processing signals emerged: digital signal processing.

- Signal processing emerged soon after World War I in the form of electrical filtering.
- With the invention of the digital computer and the rapid advances in VLSI technology during the 1960s, a new way of processing signals emerged: digital signal processing.
- This and the next two presentations provide a brief historical summary of the emergence of signal processing and its applications.

- Signal processing emerged soon after World War I in the form of electrical filtering.
- With the invention of the digital computer and the rapid advances in VLSI technology during the 1960s, a new way of processing signals emerged: digital signal processing.
- This and the next two presentations provide a brief historical summary of the emergence of signal processing and its applications.
- To start with, a classification of the various types of signals encountered in today's technological world is provided.

- Signal processing emerged soon after World War I in the form of electrical filtering.
- With the invention of the digital computer and the rapid advances in VLSI technology during the 1960s, a new way of processing signals emerged: digital signal processing.
- This and the next two presentations provide a brief historical summary of the emergence of signal processing and its applications.
- To start with, a classification of the various types of signals encountered in today's technological world is provided.
- Then the sampling process is described as a means of converting analog into digital signals.

## **Signals**

 Typically one assumes that a signal is an electrical signal, for example, a radio, radar, or TV signal.

## Signals

 Typically one assumes that a signal is an electrical signal, for example, a radio, radar, or TV signal.

However, in DSP a signal is any quantity that depends on one or more independent variables.

## Signals

 Typically one assumes that a signal is an electrical signal, for example, a radio, radar, or TV signal.

However, in DSP a signal is any quantity that depends on one or more independent variables.

A radio signal represents the strength of an electromagnetic wave that depends on one independent variable, namely, time.

 In our generalized definition of a signal, there may be more than one independent variable and the independent variables may be any quantity other than time.

 In our generalized definition of a signal, there may be more than one independent variable and the independent variables may be any quantity other than time.

For example, a digitized image may be thought of as light intensity that depends on two independent variables, the distances along the x and y axes; as such a digitized image is, in effect, a 2-dimensional signal.

 In our generalized definition of a signal, there may be more than one independent variable and the independent variables may be any quantity other than time.

For example, a digitized image may be thought of as light intensity that depends on two independent variables, the distances along the x and y axes; as such a digitized image is, in effect, a 2-dimensional signal.

A video signal is made up of a series of images which change with time; thus a video signal is light intensity that depends on the distances along the x and y axes and also on the time; in effect, a video signal is a 3-dimensional signal.

 In our generalized definition of a signal, there may be more than one independent variable and the independent variables may be any quantity other than time.

For example, a digitized image may be thought of as light intensity that depends on two independent variables, the distances along the x and y axes; as such a digitized image is, in effect, a 2-dimensional signal.

A video signal is made up of a series of images which change with time; thus a video signal is light intensity that depends on the distances along the x and y axes and also on the time; in effect, a video signal is a 3-dimensional signal.

• Some signals arise naturally, others are man-made.

Natural signals are found, for example, in:

 Acoustics, e.g., speech signals, sounds made by dolphins and whales

- Acoustics, e.g., speech signals, sounds made by dolphins and whales
- Astronomy, e.g., cosmic signals originating in galaxies and pulsars, astronomical images

- Acoustics, e.g., speech signals, sounds made by dolphins and whales
- Astronomy, e.g., cosmic signals originating in galaxies and pulsars, astronomical images
- Biology, e.g., signals produced by the brain and heart

- Acoustics, e.g., speech signals, sounds made by dolphins and whales
- Astronomy, e.g., cosmic signals originating in galaxies and pulsars, astronomical images
- Biology, e.g., signals produced by the brain and heart
- Seismology, e.g., signals produced by earthquakes and volcanoes

- Acoustics, e.g., speech signals, sounds made by dolphins and whales
- Astronomy, e.g., cosmic signals originating in galaxies and pulsars, astronomical images
- Biology, e.g., signals produced by the brain and heart
- Seismology, e.g., signals produced by earthquakes and volcanoes
- Physical sciences, e.g., signals produced by lightnings, the room temperature, the atmospheric pressure

Man-made signals are found in:

• Audio systems, e.g., music signals

- Audio systems, e.g., music signals
- Communications, e.g., radio, telephone, TV signals

- Audio systems, e.g., music signals
- Communications, e.g., radio, telephone, TV signals
- Telemetry, e.g., signals originating from weather stations and satellites

- Audio systems, e.g., music signals
- Communications, e.g., radio, telephone, TV signals
- Telemetry, e.g., signals originating from weather stations and satellites
- Control systems, e.g., feedback control signals

- Audio systems, e.g., music signals
- Communications, e.g., radio, telephone, TV signals
- Telemetry, e.g., signals originating from weather stations and satellites
- Control systems, e.g., feedback control signals
- Medicine, e.g., electrocardiographs, X-rays, magnetic resonance imaging

- Audio systems, e.g., music signals
- Communications, e.g., radio, telephone, TV signals
- Telemetry, e.g., signals originating from weather stations and satellites
- Control systems, e.g., feedback control signals
- Medicine, e.g., electrocardiographs, X-rays, magnetic resonance imaging
- Space technology, e.g., the velocity of a space craft

- Audio systems, e.g., music signals
- Communications, e.g., radio, telephone, TV signals
- Telemetry, e.g., signals originating from weather stations and satellites
- Control systems, e.g., feedback control signals
- Medicine, e.g., electrocardiographs, X-rays, magnetic resonance imaging
- Space technology, e.g., the velocity of a space craft
- Politics, e.g., the popularity ratings of a political party

- Audio systems, e.g., music signals
- Communications, e.g., radio, telephone, TV signals
- Telemetry, e.g., signals originating from weather stations and satellites
- Control systems, e.g., feedback control signals
- Medicine, e.g., electrocardiographs, X-rays, magnetic resonance imaging
- Space technology, e.g., the velocity of a space craft
- Politics, e.g., the popularity ratings of a political party
- Economics, e.g., the price of a stock at the TSX, the TSX index, the gross national product

Two general classes of signals can be identified:

- Continuous-time signals
- Discrete-time signals

• A *continuous-time signal* is a signal that is defined at each and every instant of time.

- A *continuous-time signal* is a signal that is defined at each and every instant of time.
- Typical examples are:

- A *continuous-time signal* is a signal that is defined at each and every instant of time.
- Typical examples are:
  - An electromagnetic wave originating from a distant galaxy

- A *continuous-time signal* is a signal that is defined at each and every instant of time.
- Typical examples are:
  - An electromagnetic wave originating from a distant galaxy
  - The sound wave produced by a dolphin

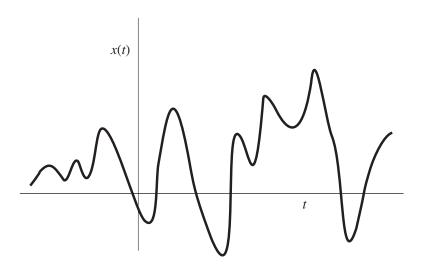
- A *continuous-time signal* is a signal that is defined at each and every instant of time.
- Typical examples are:
  - An electromagnetic wave originating from a distant galaxy
  - The sound wave produced by a dolphin
  - The ambient temperature

- A *continuous-time signal* is a signal that is defined at each and every instant of time.
- Typical examples are:
  - An electromagnetic wave originating from a distant galaxy
  - The sound wave produced by a dolphin
  - The ambient temperature
  - The light intensity along the x and y axes in a photograph

- A *continuous-time signal* is a signal that is defined at each and every instant of time.
- Typical examples are:
  - An electromagnetic wave originating from a distant galaxy
  - The sound wave produced by a dolphin
  - The ambient temperature
  - The light intensity along the x and y axes in a photograph
- A continuous-time signal can be represented by a function

$$x(t)$$
 where  $-\infty < t < \infty$ 

# Continuous-Time Signals Cont'd



## Discrete-Time Signals

• A *discrete-time signal* is a signal that is defined at discrete instants of time.

- A *discrete-time signal* is a signal that is defined at discrete instants of time.
- Typical examples are:

- A *discrete-time signal* is a signal that is defined at discrete instants of time.
- Typical examples are:
  - The closing price of a particular commodity on the stock exchange

- A *discrete-time signal* is a signal that is defined at discrete instants of time.
- Typical examples are:
  - The closing price of a particular commodity on the stock exchange
  - The daily precipitation

- A *discrete-time signal* is a signal that is defined at discrete instants of time.
- Typical examples are:
  - The closing price of a particular commodity on the stock exchange
  - The daily precipitation
  - The daily temperature of a patient as recorded by a nurse

A discrete-time signal can be represented as a function

$$x(nT)$$
 where  $-\infty < n < \infty$ 

and T is a constant.

A discrete-time signal can be represented as a function

$$x(nT)$$
 where  $-\infty < n < \infty$ 

and T is a constant.

• The quantity x(nT) can represent a voltage or current level or any other quantity.

A discrete-time signal can be represented as a function

$$x(nT)$$
 where  $-\infty < n < \infty$ 

and T is a constant.

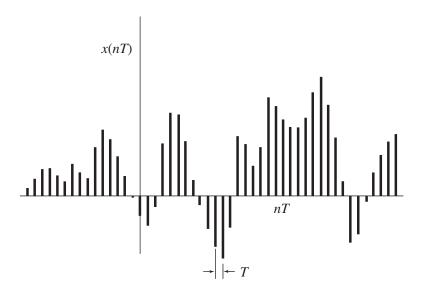
- The quantity x(nT) can represent a voltage or current level or any other quantity.
- In DSP, x(nT) always represents a series of numbers.

A discrete-time signal can be represented as a function

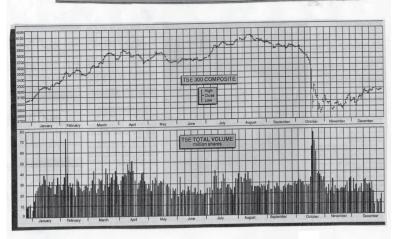
$$x(nT)$$
 where  $-\infty < n < \infty$ 

and T is a constant.

- The quantity x(nT) can represent a voltage or current level or any other quantity.
- In DSP, x(nT) always represents a series of numbers.
- Constant T usually represents time but it could be any other physical quantity depending on the application.



#### TORONTO STOCK EXCHANGE: Summary of 1987 trading





#### Note:

The signals in the previous two slides are discrete-time signals since a mutual fund or the TSX index has only one closing value per day.

They are plotted as if they were continuous-time signals for the sake of convenience.

# Nonquantized and Quantized Signals

- Signals can also be classified as:
  - Nonquantized
  - Quantized

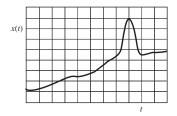
#### Nonquantized and Quantized Signals

- Signals can also be classified as:
  - Nonquantized
  - Quantized
- A *nonquantized signal* is a signal that can assume any value within a given range, e.g., the ambient temperature.

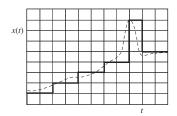
#### Nonquantized and Quantized Signals

- Signals can also be classified as:
  - Nonquantized
  - Quantized
- A nonquantized signal is a signal that can assume any value within a given range, e.g., the ambient temperature.
- A quantized signal is a signal that can assume only a finite number of discrete values, e.g., the ambient temperature as measured by a digital thermometer.

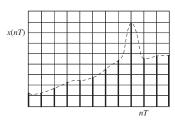
# Nonquantized and Quantized Signals Cont'd



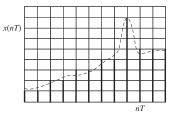
(a) Continuous-time, nonquantized



(c) Continuous-time, quantized



(b) Discrete-time, nonquantized



(d) Discrete-time, quantized

#### **Alternative Notation**

• A discrete-time signal x(nT) is often represented in terms of the alternative notations

$$x(n)$$
 and  $x_n$ 

#### Alternative Notation

• A discrete-time signal x(nT) is often represented in terms of the alternative notations

$$x(n)$$
 and  $x_n$ 

• In the early presentations, x(nT) will be used most of the time to emphasize the fact that a discrete-time signal is typically generated by sampling a continuous-time signal x(t) at instant t = nT.

#### Alternative Notation

• A discrete-time signal x(nT) is often represented in terms of the alternative notations

$$x(n)$$
 and  $x_n$ 

- In the early presentations, x(nT) will be used most of the time to emphasize the fact that a discrete-time signal is typically generated by sampling a continuous-time signal x(t) at instant t = nT.
- In later presentations, the more economical notation x(n) will be used where appropriate.

# Sampling Process

■ To be able to process a nonquantized continuous-time signal by a digital system, we must first sample it to generate a discrete-time signal.

### Sampling Process

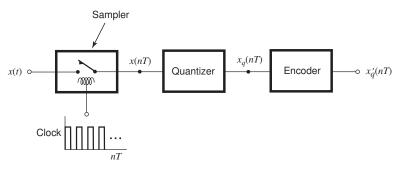
- To be able to process a nonquantized continuous-time signal by a digital system, we must first sample it to generate a discrete-time signal.
- We must then quantize it to get a quantized discrete-time signal.

# Sampling Process

- To be able to process a nonquantized continuous-time signal by a digital system, we must first sample it to generate a discrete-time signal.
- We must then quantize it to get a quantized discrete-time signal.
- That way, we can generate a numerical representation of the signal that entails a finite amount of information.

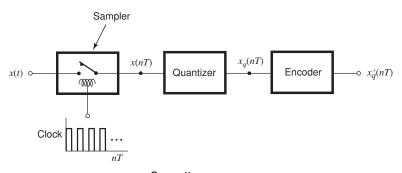
A sampling system comprises three essential components:

- sampler
- quantizer
- encoder



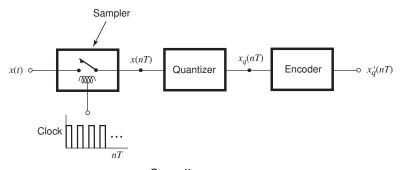
Sampling system

A sampler in its bare essentials is a switch controlled by a clock signal which closes momentarily every T seconds thereby transmitting the level of the input signal x(t) at instant nT, i.e., x(nT), to its output.



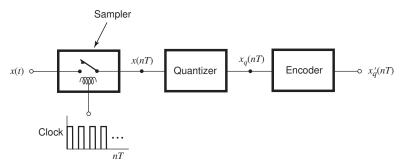
Sampling system

- A sampler in its bare essentials is a switch controlled by a clock signal which closes momentarily every T seconds thereby transmitting the level of the input signal x(t) at instant nT, i.e., x(nT), to its output.
- Parameter *T* is called the *sampling period*.



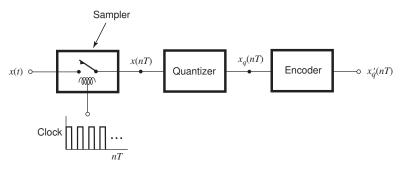
Sampling system

■ A *quantizer* is a device that will sense the level of its input and produce as output the nearest available level, say,  $x_q(nT)$ , from a set of allowed levels, i.e., a quantizer will produce a quantized continuous-time signal.



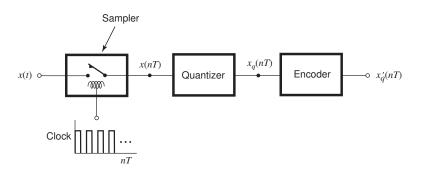
Sampling system

An encoder is essentially a digital device that will sense the voltage or current level of its input and produce a corresponding binary number at its output, i.e., it will convert a quantized continuous-time signal into a corresponding discrete-time signal in binary form.

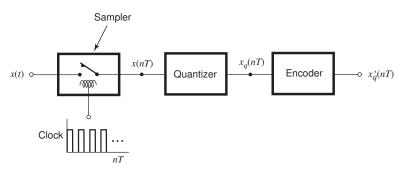


Sampling system

The sampling system described is essentially an analog-to-digital converter and its implementation can assume numerous forms.



- The sampling system described is essentially an analog-to-digital converter and its implementation can assume numerous forms.
- These devices go by the acronym of A/D converter or ADC and are available in VLSI chip form as off-the-shelf devices.



A quantized discrete-time signal produced by an A/D converter is, of course, an approximation of the original nonquantized continuous-time signal.

- A quantized discrete-time signal produced by an A/D converter is, of course, an approximation of the original nonquantized continuous-time signal.
- The accuracy of the representation can be improved by increasing
  - the sampling rate, and/or
  - the number of allowable quantization levels in the quantizer

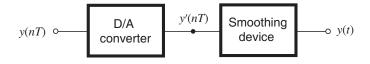
- A quantized discrete-time signal produced by an A/D converter is, of course, an approximation of the original nonquantized continuous-time signal.
- The accuracy of the representation can be improved by increasing
  - the sampling rate, and/or
  - the number of allowable quantization levels in the quantizer
- The sampling rate is simply  $1/T = f_s$  in Hz or  $2\pi/T = \omega_s$  in radians per second (rad/s).

Once a discrete-time signal is generated which is an accurate representation of the original continuous-time signal, any required processing can be performed by a digital system.

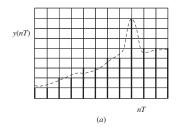
- Once a discrete-time signal is generated which is an accurate representation of the original continuous-time signal, any required processing can be performed by a digital system.
- If the processed discrete-time signal is intended for a person, e.g., a music signal, then it must be converted back into a continuous-time signal.

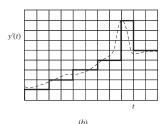
- Once a discrete-time signal is generated which is an accurate representation of the original continuous-time signal, any required processing can be performed by a digital system.
- If the processed discrete-time signal is intended for a person, e.g., a music signal, then it must be converted back into a continuous-time signal.
- Just like the sampling process, the conversion from a discreteto a continuous-signal requires a suitable digital-to-analog interface.

■ Typically, the digital-to-analog interface requires a series of two cascaded modules, a *digital-to-analog* (or D/A) converter and a *smoothing device*:

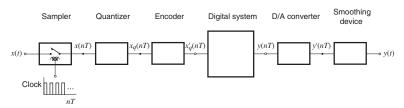


- A D/A converter will receive an encoded digital signal in binary form like that in Fig. (a) as input and produce a corresponding quantized continuous-time signal such as that in Fig. (b).
- The stair-like nature of the quantized signal is, of course, undesirable and a D/A converter is normally followed by some type of smoothing device, typically a lowpass filter, that will eliminate the uneveness in the signal.





#### Complete DSP system



- The quality of the conversion from a continuous- to a discrete-time signal and back to a continuous-time signal can be improved
  - by understanding the processes involved and/or
  - by designing the components of the sampling system carefully.

- The quality of the conversion from a continuous- to a discrete-time signal and back to a continuous-time signal can be improved
  - by understanding the processes involved and/or
  - by designing the components of the sampling system carefully.
- This subject will be treated at a higher level of sophistication in Chap. 6.

Signal processing is the science of analyzing, synthesizing, sampling, encoding, transforming, decoding, enhancing, transporting, archiving, and generally manipulating signals in some way or another.

- Signal processing is the science of analyzing, synthesizing, sampling, encoding, transforming, decoding, enhancing, transporting, archiving, and generally manipulating signals in some way or another.
- These presentations are concerned primarily with the branch of signal processing that entails the manipulation of the spectral characteristics of signals.

- Signal processing is the science of analyzing, synthesizing, sampling, encoding, transforming, decoding, enhancing, transporting, archiving, and generally manipulating signals in some way or another.
- These presentations are concerned primarily with the branch of signal processing that entails the manipulation of the spectral characteristics of signals.
- If the processing of a signal involves modifying, reshaping, or transforming the spectrum of the signal in some way, then the processing involved is usually referred to as *filtering*.

- Signal processing is the science of analyzing, synthesizing, sampling, encoding, transforming, decoding, enhancing, transporting, archiving, and generally manipulating signals in some way or another.
- These presentations are concerned primarily with the branch of signal processing that entails the manipulation of the spectral characteristics of signals.
- If the processing of a signal involves modifying, reshaping, or transforming the spectrum of the signal in some way, then the processing involved is usually referred to as *filtering*.
- If the filtering is carried out by digital means, then it is referred to as digital filtering.

This slide concludes the presentation.

Thank you for your attention.